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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/538,126
	Filing Date	June 6, 2005
	First Named Inventor	Shunsuke Kuroiwa
	Art Unit	1624
	Examiner Name	Not yet assigned
Total Number of Pages In This Submission	Attorney Docket Number	442P097

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): -Form PTO-1449 -Copy of non US references cited - 2 -Copy of European communication dated 7/19/07
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Niels & Lemack		
Signature			
Printed name	Kevin S. Lemack		
Date	August 17, 2007	Reg. No.	32,579

CERTIFICATE OF TRANSMISSION/MAILING			
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Signature			
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shunsuke Kuroiwa et al.

Serial No. : 10/538,126

Filed : June 6, 2005

For : 3-PHENYL-CINNOLINE ANALOGUE AND ANTITUMOR AGENT USING  
THE SAME

Examiner : Not yet assigned

Art Unit : 1624

Confirmation No: 2218

Attorney  
Docket No. : 442P097

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

INFORMATION DISCLOSURE STATEMENT

The Examiner is respectfully requested to consider the document(s), which is/are listed on the attached form PTO 1449.


The relevance of the reference Chemical Abstract XP002441182 is cited is shown in the attached European communication dated July 19, 2007, which indicates the degree of relevance found by the European Patent Office in a related foreign application.

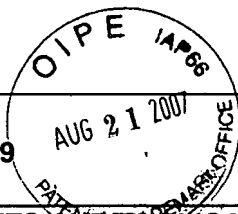
Copies of the non U.S. Patent listed on the attached form are enclosed herewith.

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Signature: **Kevin S. Lemack**  
Date: **August 17, 2007**

Respectfully submitted,

  
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<b>FORM PTO-1449</b>	<b>ATTY. DOCKET NO.</b> 442P097	<b>SERIAL NO.</b> 10/538,126
	<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	
	<b>FILING DATE</b> June 6, 2005	<b>GROUP</b> 1624

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA							
	BB							
	BC							

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

	CA		Database Caplus – Chemical Abstracts Service, Ramadas, Sukuru Raghu et al.; "Condensed nitrogen heterocycles derived from indan-1, 3-dione" – XP002441182
	CB		Journal of Medicinal Chemistry, 1976, vol. 19, No. 4; Kuppuswamy Nagarajan et al.; "Central Nervous System Active 5-Oxo-1,4,5,6,7,8-hexahydrocinnolines"; pp. 508-511
	CC		Copy of European communication dated 7/19/2007
	CD		

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER:** Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant.